#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Martin KASPAR et al.

Title:

COLLECTING TANK, HEAT EXCHANGER

AND COOLANT CIRCUIT

Appl. No.:

Unassigned

Filing Date:

05/05/2005

Examiner:

Unassigned

Art Unit:

Unassigned

# <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.56</u>

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

### RELEVANCE OF EACH DOCUMENT

Documents A3-A11 listed on the attached PTO/SB/08 were cited as being relevant during the prosecution of the corresponding International application. Copies of the documents are not being provided since copies should have been provided directly by WIPO under an exchange program between the PTO, the EPO and the JPO. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

The relevance of document A12 is described in the present specification. Also, the relevance of document A9 is cited in both the present specification and cited in the international search report.

Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicant(s) and the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicant(s) has endeavored to provide at least one item that complies with the requirement for a "concise explanation of relevance" for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Documents A1 and A9 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A2 and A12 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: May 5, 2005

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 672-5414

Facsimile: (202) 672-5399

Richard L. Schwaab Attorney for Applicant

Registration No. 25,479

Alderhuadi \_\_\_\_

# JC06 Rec'd PCT/PTO 05 MAY 2005

MODIFIED PTO/SB/08 (08-00) se through 10/31/2002. OMB 0651-0031

Approved U.S. Patent and Trademark U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, the persons are required to respond to a collection of information unless it contains a valid OMB control

	Substitute	e for form	1449B	PTO	Complete if Known			
	INFORMA	TION DIS	SCLO	SURE	Application Number	Unassigned / 2220/		
	STATEME	ENT BY A	PPLI	CANT	Filing Date	05/05/2005		
•	Data Cub	mittad: N	lov E	2005	First Named Inventor	Martin KASPAR		
	Date Sub	irriittea. iv	iay 5,	2005	Group Art Unit	Unassigned		
	(use as man	y sheets	as ne	cessary)	Examiner Name	Unassigned		
Sheet	1	.:	of	1	Attorney Docket Number	016906-0393		

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent D		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (if known)				
	A1	6,349,562	B1	KASPAR et al.	02-26-2002		
	A2	5,537,839		BURK et al.	07-23-1996		
	A3	2001/0025511	A1	BERNINI	10-04-2001		
	A4	5.992,174		MITTELSTRASS	11-30-1999		
	A5	5.084,314		IGARASHI et al.	01-28-1992		
	A6	2,168,734		FREEMAN	08-08-1939		
	A7	2,848,130		JESNIG	08-19-1958		

				FC	DREIGN PATENT DOCUMEN			
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	A8	EP	0 886 114	A2	DEUTSCHE CONTROLS GMBH	12-23-1998		Abs.
	A9	DE	100 39 260	A1	BEHR GMBH & CO.	03-22-2001		Abs.
	A10	JP	2002-61778	Α	BRIDGESTONE CORP.	02-28-2002		Abs.
	A11	EP	1 162 397	A2	TOKAI RUBBER INDUSTRIES, LTD.	12-12-2001		
	A12	DE	42 38 853	A1	BEHR GMBH & CO.	05-19-1994		Abs.

NON PATENT LITERATURE DOCUMENTS						
Examiner Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>				

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Examiner Signature	Date Considered		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 
Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.